

PHYSICS 320: Assignment No. 6  
 Due: November 4, 2009

1. Consider a three-dimensional system of electrons with a uniform neutralizing background of positive charge (Jellium model) at  $T = 0$ . Assume the electrons to be non-interacting in parts (a)-(d).
  - (a) Express the total kinetic energy of the system as a function of the (uniform) density  $\rho$  of the electrons.
  - (b) Let  $V(\mathbf{r})$  be an external potential that makes the system inhomogeneous. Using the Thomas-Fermi approximation, relate the local density  $\rho(\mathbf{r})$  to  $V(\mathbf{r})$ .
  - (c) Use the result of part (a) to express the total kinetic energy as a functional of the (now inhomogeneous) local density  $\rho(\mathbf{r})$ . Assume that  $V(\mathbf{r})$ , and therefore, the local density  $\rho(\mathbf{r})$  are slowly varying in space, so that gradient corrections can be neglected. Also, express the total potential energy in terms of  $V(\mathbf{r})$  and  $\rho(\mathbf{r})$ .
  - (d) Minimize the total energy with respect to  $\rho(\mathbf{r})$  to obtain a relation between  $\rho(\mathbf{r})$  and  $V(\mathbf{r})$ . Show that the result is the same as that obtained in part (b).
  - (e) Generalize both the density functional and the Thomas-Fermi treatments to include the exchange energy. Use the Hartree-Fock form for the exchange energy. Compare the two resulting relations between  $\rho(\mathbf{r})$  and  $V(\mathbf{r})$ .
2. Obtain the equations that determine the phonon frequencies and polarization vectors for a two-dimensional harmonically coupled crystal with one atom per unit cell of a triangular lattice. Evaluate the expressions you find for two special symmetry directions in the Brillouin zone. Assume only nearest-neighbor interactions of the form

$$V(\vec{R}_1, \vec{R}_2) = \frac{1}{2}K(|\vec{R}_1 - \vec{R}_2| - a)^2,$$

where  $a$  is the lattice spacing.

3. According to Lindemann's law of melting, a solid melts if the root-mean-square displacement,  $\sqrt{\langle |\mathbf{u}|^2 \rangle}$ , of an atom from its equilibrium position exceeds a fraction,  $1/\alpha$ , of the interatomic distance ( $\alpha \approx 10$ ). Consider a simple cubic lattice of spacing  $a$ . Each site is occupied by an atom of mass  $M$ . Assuming a Debye spectrum for the phonons, calculate the melting temperature of the solid in terms of the Debye temperature  $\Theta_D$ ,  $M$ ,  $a$ ,  $\alpha$  and fundamental constants. You may assume that the values of  $M$  and  $a$  are such that the melting temperature  $T_M \gg \Theta_D$ .

4. The Debye-Waller factor appearing in the theory of the scattering of neutrons by a solid (see Ashcroft and Mermin, Appendix N) is defined as  $e^{-2W(\mathbf{q})}$  where

$$2W(\mathbf{q}) = \langle [\mathbf{q} \cdot \mathbf{u}]^2 \rangle.$$

Here  $\mathbf{u}$  is the displacement of an ion from its equilibrium position, and  $\langle \dots \rangle$  represents a thermal average.

- (a) Obtain an expression for  $W(\mathbf{q})$  in terms of phonon frequencies and polarization vectors. This should involve an integral over all the phonon modes.
- (b) Show that  $e^{-2W(\mathbf{q})} = 0$  in one and two dimensions. (Hint: consider the behaviour of the integrand of the  $\mathbf{k}$ -integral in part (a) for small values of  $k$ .) What are the implications of this result for the possible existence of one- or two-dimensional crystalline ordering?

5. Consider a linear monatomic chain with only nearest-neighbour interactions. First, assume that the interaction between nearest neighbours is purely harmonic.

- (a) Show that the phonon dispersion relation for this system is given by

$$\omega(k) = \omega_0 |\sin(ka/2)|,$$

where the constant  $\omega_0$  is the maximum frequency (this occurs for  $k$  on the zone boundary) and  $a$  is the spacing between nearest neighbors.

- (b) Calculate the phonon density of states  $g(\omega)$  for this system. In particular, show that  $g(\omega)$  has a van Hove singularity at  $\omega = \omega_0$ . Find the form of this singularity.
- (c) Calculate the temperature-dependence of the phonon part of the specific heat of this system at low temperatures.
- (d) Now consider the more general situation where the interaction between nearest neighbors is no longer assumed to be purely harmonic. Let  $\phi(r)$  be the pair potential that describes the interaction between nearest neighbors. Define the Grüneisen parameter for the phonon mode with wavenumber  $k$  as

$$\gamma(k) = -\frac{\partial \ln \omega(k)}{\partial \ln L}$$

where  $L = Na$  is the length of the chain [ $N$  is the number of atoms in the chain]. Show that  $\gamma(k)$  is in fact independent of  $k$ , and is given by

$$\gamma = -\frac{a}{2} \frac{\phi'''(a)}{\phi''(a)}.$$